

**FEDERAL ASSISTANCE AWARD  
DATA SYSTEM  
(FAADS)**

**USERS' GUIDE**

FOR

**FEDERAL**

**FISCAL YEAR 2003**

Office of Management and Budget

U.S. Department of Commerce  
Bureau of the Census  
(Executive Agent)

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## INTRODUCTION

*Please read this guide prior to using FAADS.*

This guide contains a detailed description of the Federal Assistance Award Data System (FAADS). In addition to the technical information on diskette format, Internet availability and access, we have included important information on program coverage, system limitations, a description of each of the data elements in the record layout and other user precautions. The record layout remains unchanged since the revision at the beginning of Fiscal Year 1998 to make FAADS year 2000 compliant.

The Bureau of the Census serves as the executive agent responsible for operating FAADS. The Office of Management and Budget provides policy oversight. We invite your feedback and suggestions for improving FAADS. If you have any questions or comments, please contact the Federal Programs Branch at the address and telephone number listed below.

Federal Programs Branch  
Governments Division  
Bureau of the Census  
Washington, DC 20233-6800

Telephone Number: (301) 763-1565  
Facsimile Number: (301) 457-1540

Email: [faads@census.gov](mailto:faads@census.gov)

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## GENERAL DESCRIPTION

### Background

FAADS is required by Title 31, Section 6102(a) of the United States Code. The first FAADS report was issued in February 1981, covering assistance award actions during the first quarter of Federal fiscal year 1981 (October 1 to December 31, 1980).

FAADS is a central collection of selected, computer-based data on Federal financial assistance award transactions, compiled quarterly. Each standard record is identified as being one of two possible types: county aggregate and action-by-action. Each action-by-action record contains such items as the name and location of the recipient (but not the address), the amount of awarded or amended Federal assistance (usually on the basis of the obligated amount), the program under which the award was made, and a project description. For some programs, primarily transfer payments to individuals and large volume loan programs for individual home ownership, data in the record are presented as aggregate amounts for all recipients in each county area. Such aggregate records do not contain all the data elements specified in the standardized format. The record format, which begins on page 11, indicates which items are available in each type of record.

### Coverage

Thirty-three departments and agencies of the executive branch of the Federal Government with grant making authority are now reporting to FAADS. Some of them are small agencies that report only once a year, due to the limited number of assistance awards. Appendix A lists selected agencies providing data to FAADS. The list also includes some smaller Federal agencies not yet reporting, but which are anticipated to start reporting in the near future. Many of them are very small entities that make only a very limited number of scholarship and fellowship awards to researchers and students working on advanced degrees.

Reporting covers approximately 600 Federal assistance programs. While primarily concerned with assistance to State and local governments, all major programs providing transfer payments to individuals, discretionary project grants, loans, or insurance are also covered. The long-term objective is to include in FAADS all Federal financial assistance programs. This includes all assistance programs listed in the *Catalog of Federal Domestic Assistance* (CFDA) as well as financial assistance awards made by Federal agencies but not included in the CFDA.

## **Relationship to Other Systems/Processes**

Various sections of the United States Code require Federal agencies to provide fiscal, budgetary, and program-related information to State and local governments and to the Congress. FAADS assists Federal agencies in complying with applicable sections of the Code, and promotes the government's effort to provide access to information on Federal assistance activities. Other related efforts include the *Catalog of Federal Domestic Assistance* (CFDA), Federal Assistance Programs Retrieval System (FAPRS), and the *Budget Information for States* (BIS) report.

The CFDA and its automated companion, FAPRS, present a summary of Federal domestic assistance programs available from Federal executive branch agencies. In addition to program description and other information, the CFDA provides data on Federal funding for past and current budget years.

The BIS reports estimates of potential State allocations of Federal formula grant funds from Federal executive branch agencies for the then current fiscal year, as well as the prior fiscal year and the proposed fiscal year.

*The Consolidated Federal Funds Report* publishes data on Federal Government expenditures on a geographic basis. These annual reports are developed from existing data sources, including FAADS, within the Federal Government. Coverage includes grants and payments to State and local governments, salaries and wages of Federal employees, Federal Government procurement awards, and direct Federal payments for individuals.

Executive Order 12372 outlines the Federal Government's system of consultation with the States on applications for grants, direct development, and other forms of financial assistance. The States, in consultation with their local governments, design their own process of review for applications of Federal financial assistance, and determine which programs are subject to review. The FAADS helps the States in this review process through the inclusion of the State Application Identifier (SAI) number in field two in the FAADS records. The SAI number is assigned by State review agencies during the grant application process. However, not every agency incorporates the SAI number into the internal data file(s) used to generate the records submitted for FAADS processing.

Users need to be aware of the commonalities and differences among the various reports mentioned above. While each report serves a specific purpose, knowledge about the relationships among the reports, the President's budget, and the funds made available through Congressional enactment of appropriations plays a vital part in understanding the nature of the allocation and distribution of Federal funds. Due to differences in definitions or reporting bases (such as obligations vs. expenditures, or fiscal year vs. calendar year vs. program year), users are cautioned that amounts appearing in one report may not match amounts found in other reports.

## **System Limitations**

FAADS is a quarterly compilation of Federal assistance actions. No summation, consolidation, or other linkage with previous or future quarterly data is provided to users. Because each quarterly report stands on its own, it is necessary for users to refer to previous and future quarterly data to obtain a complete picture of assistance actions. The series of FAADS data files provides a basic data source for analysis of Federal assistance awards by those who wish to apply their own data evaluation programming. FAADS is **not** a "database" to be queried. It is a sequential file that can only be "read" by a custom-written computer program. All prior FAADS files, including those originally produced and maintained on mainframe computer tapes, now are stored on CD-ROM's in ASCII text format. To obtain historic data, contact the Federal Programs Branch (See page 1). All FAADS data files since the beginning of FY 1996 have been posted to the Internet at the Census Bureau's web address, <http://www.census.gov>.

FAADS is **not** an accounting system. The funds reported in FAADS may differ from those reported in various accounting or finance systems. Some differences are due to agency reporting practices, while others result from differences in effective reporting dates or interpretations of definitions and requirements.

Reporting in FAADS is based on the geographic location of the initial recipient. This may be different from the location of the funded project and could also be different from the location of secondary recipients or the prime beneficiaries. Information on project location has been provided for each transaction record since 1983.

Another caution is that funding amounts for loan guarantees, insurance, and other forms of contingent liabilities do not in all cases represent an actual flow of Federal funds. The user needs to understand the type of financial assistance (e.g., grant, cooperative agreement, direct loan) provided by a specific Federal award so that the amount of Federal funding reported is not misinterpreted.

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## **DATA AVAILABILITY**

Data are submitted quarterly by the Federal agencies. These data are then compiled on a government-wide basis for OMB by its executive agent, the Bureau of the Census. Agency submissions are due within 30 days after the end of the quarter. Submissions that fail to meet the reporting deadline are not integrated into FAADS until the following quarter.

FAADS data for the most recent quarter and the most recently completed fiscal year are available at the Bureau of the Census' web site, [www.census.gov](http://www.census.gov). Anyone wanting access to historic FAADS data beyond those data files available on the Internet, should contact the Federal Programs Branch at the address and telephone number listed on page 1. Users of FAADS data for fiscal years prior to 1998 are reminded that the record layout is different from the one shown in this manual. Interested users should consult Appendix C for a cross-walk between both the "old" and the "new" record layout. FAADS data files for Fiscal Year 1998 and subsequent years are in compliance with the requirements of the Year 2000 directives and mandates, in that all dates have been extended to contain four digits to identify the year.

### **U.S. Congress**

Complete data files are provided quarterly to the U.S. Congress. The servicing agencies are:

House Information Resources  
Ford House Office Building  
Sixth Floor  
Washington, DC 20515-6165

U.S. Senate Computer Center  
Postal Square Building  
2 Massachusetts Avenue, NE  
Washington, DC 20510-7202

Telephone: (202) 226-6438

Telephone: (202) 224-3976

Congressional members or their staff can obtain FAADS information directly from these servicing agencies or through the Congressional Research Service of the Library of Congress. If additional information is needed, congressional staff should directly contact the Federal Programs Branch for assistance (see page 1).

The general public cannot obtain access to the FAADS information through servicing agencies of the U.S. Congress.

### **Federal Agencies**

Many Federal agencies have found uses for the FAADS quarterly files, or some variation thereof. Inquiries should be made directly to the Bureau of the Census, Governments Division, Federal Programs Branch (see page 1).

### **State and Territorial Governments**

Complete data files are provided to the State and Territorial governments. Each State or Territory can request the data file in electronic form (diskettes, Internet, or CD-ROM), or print image format on diskettes. Hard copy of the data no longer will be provided. Specific arrangements can be made with the FAADS staff for electronic (Internet) transmission to a State government office.

Each State and Territory is encouraged to share the FAADS information with its political subdivisions and the general public.

### **General Public**

The general public can obtain FAADS files, on a fee basis, for fiscal years prior to 1996 on magnetic tape from:

Administrative and Customer Services Division (ACSD)  
Bureau of the Census  
Washington, DC 20233-1900

Telephone: (202) 457-4100

National Archives and Records Administration (NARA)  
Electronic & Special Media Records Division  
National Archives at College Park  
8601 Adelphi Road  
College Park, MD 20740-6001

Telephone: (301) 837-0470

FAADS data files for fiscal years 1981 through 2001 and the latest completed quarter of FY 2002 are available, and data files for future quarters will be available, on a fee basis on magnetic tape or CD-ROM from the National Archives and Records Administration (NARA) [see above address and telephone number]. The data files available from NARA and the Census Bureau's Customer Services Office (see address and telephone number listed above), are "national" in scope and contents. Data files for individual states or territories are not available (except on the World Wide Web – see below). Users must obtain the "national" quarterly file and separate out records for individual geo-political entities.

The data files for Fiscal Years 1996-2002 and the latest completed quarter of the current fiscal year also are accessible by the public from the world wide web home page of the Governments Division of the Census Bureau. The site address is:

[http:// www.census.gov/govs/www/faads.html](http://www.census.gov/govs/www/faads.html)

Users have the option of downloading the entire quarterly file or subfiles for individual states and territories. Special requests, such as partial FAADS files, cannot be accommodated. Users, however, should contact the Federal Programs Branch (see page 1) for further information.

# DATA CHARACTERISTICS

## Record Contents

There are two types of FAADS records: action-by-action and county aggregate. Both types use the same record format containing 34 data element fields. Action-by-action records usually contain data in each field, except where the submitting agency was unable to provide those data. County aggregate records, on the other hand, will not contain data in all fields, since data for numerous individual actions are summarized into one record. Examples include activities such as entitlement payments made directly for individuals, or other large volume transactions, such as housing loans and flood insurance. When these transactions are aggregated at the county level, not all data elements are additive. Therefore, some data fields are blank. County aggregate records normally contain data in up to 20 fields; but some variance may occur because of differing agency practices. The FAADS record format, which follows, contains asterisks (\*) for fields which do not apply to county aggregate reporting.

The records have been sorted by State and Territory (alphabetically by State, followed by the Territories). Within each State, action-by-action records appear before county aggregate records. Within these groupings, the records are sorted by Federal agency, CFDA program number, county and city name (action by action records only). All sorts are in alphanumeric ascending order, except Record Type, which is in descending order.

The output files sent to States contain data that pertain only to the receiving State. A complete data file covering all States and Territories can be purchased from the National Archives and Records Administration or the Bureau of the Census, or downloaded from the Census Bureau's web site.

Users with questions about processing quarterly FAADS files should contact the Federal Programs Branch (see page 1).

## Diskette Specifications

The FAADS quarterly diskette contains only the data for the current quarter. The specifications are:

- Record length = up to 606 characters
- MS-DOS compatible
- 3 1/2 inch diskettes only
- Fixed field ASCII data
- Packed by PKZIP software (to be UNZIPPED by user) or not packed (user specified)

The record layout, including field descriptions, begins on page 11. For users of FAADS files for fiscal years prior to 1998, a cross-walk of the character positions of each data field, is also included in Appendix C of this Users' Guide.

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## RECORD FORMAT

<b>Field No.</b>	<b><u>Data Element</u></b>	<b><u>Data Type</u></b>	<b><u>Field Length</u></b>	<b><u>Record Position</u></b>
1	<b><u>CFDA Program Number</u></b> Six-position program number from the latest edition of the CFDA (left justified, space filled). Field is seven positions to accommodate possible expansion in this number. If CFDA program number is not available, a pseudo-code is used. This consists of the first two positions of the agency's CFDA program numbering series, followed by AAA, AAB, etc. for each program being reported. Program titles are listed in field 30.	AN	7	1-7
2	<b><u>State Application Identifier (SAI Number)</u></b> If the program being reported is not subject to State review in accordance with Executive Order 12372 and no number is reported, "SAI EXEMPT" is entered. If the program is subject to State review under EO 12372 but for some reason the SAI is not available, "SAI NOT AVAILABLE" is entered (left justified).	AN	20	8-27
3	<b><u>Recipient Name</u></b> Left justified, space filled. For county aggregate records, will read "MULTIPLE RECIPIENTS."	AN	45	28-72
4*	<b><u>Recipient City Code</u></b> Five position FIPS 55-3 place code.	AN	5	73-77
5*	<b><u>Recipient City Name</u></b> Left justified, space filled.	AN	21	78-98
6	<b><u>Recipient County Code</u></b> Three-position numeric code for county from FIPS PUB 6-4.	AN	3	99-101
7	<b><u>Recipient County Name</u></b> Left justified, space filled.	AN	21	102-122
8	<b><u>Recipient State Code</u></b> Two-position numeric code for State or territory from FIPS PUB 5-1. The State name is provided in field 32.	AN	2	123-124
9*	<b><u>Recipient Zip Code</u></b> Nine-position numeric U.S. Postal Service Zip code (left justified, space filled). The first five positions are required. The remaining four positions are reported, where available.	AN	9	125-133

\* Field will be blank in county aggregate reporting.

<b>Field No.</b>	<b><u>Data Element</u></b>	<b><u>Data Type</u></b>	<b><u>Field Length</u></b>	<b><u>Record Position</u></b>
10	<p><b><u>Type of Recipient</u></b> Two-position numeric code which depicts the type of recipient or borrower.</p> <p>Government codes: 00 = State government 01 = county government 02 = city or township government 04 = special district government 05 = independent school district 06 = State controlled institution of higher education</p> <p>Nonprofit agencies: 11 = Indian tribe 12 = other nonprofit</p> <p>Private: 20 = private higher education 21 = individual 22 = profit organization 23 = small business 25 = all other</p>	AN	2	134-135
11*	<p><b><u>Type of Action</u></b> A = new assistance action B = continuation (funding in succeeding budget period which stemmed from prior agreement to fund - amount of the current action) C = revision (any change in Federal Government's financial obligation or contingent liability in existing assistance transaction - amount of the <u>change</u> in funding) D = funding adjustment to completed project.</p>	AN	1	136
12	<p><b><u>Recipient Congressional District</u></b> Two-position numeric code for congressional district of the recipient. For a congressional district at large, a "00" is entered. For jurisdictions with a nonvoting delegate, a "98" is entered. For jurisdictions with no representative, the designation "99" is entered. Where a jurisdiction is included in more than one congressional district, a code "90" might be used when a more precise notation is not available. The district designations reflect the Congressional Districts of the 105 th Congress.</p>	AN	2	137-138
13	<p><b><u>Federal Agency/Organizational Unit Code</u></b> Four-position FIPS-95 agency/bureau sequencing numeric code. The agency name is provided in field 31.</p>	AN	4	139-142
14*	<p><b><u>Federal Award Identifier Number</u></b> The number reflects each Federal agency's unique coding system for identifying individual assistance awards. Entries are left justified, space filled.</p> <p>a. Core number</p> <p>b. Modification number</p>	AN AN	16 4	143-158 159-162

\* Field will be blank in county aggregate reporting.

<b>Field No.</b>	<b>Data Element</b>	<b>Data Type</b>	<b>Field Length</b>	<b>Record Position</b>
15	<b><u>Federal Funding Sign</u></b> Minus sign indicates decrease in Federal Government's obligation or contingent liability; if not a decrease, position is blank.	AN	1	163
16	<b><u>Federal Funding Amount</u></b> Right justified with leading zeros; in whole dollar amount.	N	10	164-173
17	<b><u>Non-Federal Funding Sign</u></b> Minus sign indicates decrease in all reported non-Federal funding; if not a decrease, position is blank.	AN	1	174
18	<b><u>Non-Federal Funding Amount</u></b> Right justified with leading zeros; in whole dollar amount.	N	10	175-184
19	<b><u>Total Funding Sign</u></b> Minus sign indicates decrease in total funding; if not a decrease, position is blank.	AN	1	185
20	<b><u>Total Funding Amount</u></b> Right justified with leading zeros; in whole dollar amount.	N	11	186-196
21	<b><u>Obligation/Action Date</u></b> Entered numeric as <b>yyyymmdd</b> . For county aggregate records, entry will be the last day of the quarter.	AN	8	197-204
22*	<b><u>Starting Date</u></b> Entered numeric as <b>yyyymmdd</b> .	AN	8	205-212
23*	<b><u>Ending Date</u></b> Entered numeric as <b>yyyymmdd</b> .	AN	8	213-220
24	<b><u>Type of Assistance Transaction</u></b>  02 = block grant (A) 03 = formula grant (A) 04 = project grant (B) 05 = cooperative agreement (B) 06 = direct payment for specified use, as a subsidy or other non-reimbursable direct financial aid (C) 07 = direct loan (E) 08 = guaranteed/insured loan (F) 09 = insurance (G) 10 = direct payment with unrestricted use (retirement, pension, veterans benefits, etc.) (D) 11 = other reimbursable, contingent, intangible, or indirect financial assistance	AN	2	221-222

NOTE: The alphabetic designation following each entry above refers to the type of assistance in the *Catalog of Federal Domestic Assistance*.

\* Field will be blank in county aggregate reporting.

<b>Field No.</b>	<b>Data Element</b>	<b>Data Type</b>	<b>Field Length</b>	<b>Record Position</b>
25	<b><u>Record Type</u></b> 1 = county aggregate reporting 2 = action-by-action reporting	AN	1	223
26	<b><u>Correction/Late Indicator</u></b> C = correction to a record reported in a prior quarter (funding amount is the amount of change, if any). L = late reporting of an action occurring in a prior quarter. blank = current entry	AN	1	224
27	<b><u>Fiscal Year and Quarter Correction</u></b> Five position numeric designation of the fiscal year and quarter to which the corrected or late record applies. The format is <b>yyyyq</b> . Field is blank for current quarter records.	AN	5	225-229
28	<b><u>Principal Place of Performance Code</u></b> The entry is the numeric code for the principal place of performance --the project being funded. The field contains seven positions.  <u>State:</u> The first two positions (230, 231) are the numeric FIPS code for the State, territory, or the District of Columbia. A "00" code followed by five asterisks (*) indicates a multi-State project. A "00" numeric code followed by "FORGN" in the next five positions indicates the place of performance is in a foreign country.  <u>County or city:</u> Positions 232 through 236 indicate the principal county or city of performance (project location).  If the project is Statewide, asterisks appear in positions 232 through 236. If the project is countywide, or in more than one county, the three character numeric FIPS code for the county, or county of principal performance, appears in positions 234-236, preceded by two asterisks. All FAADS transactions reported on a county aggregate basis are in the countywide format.  If the principal place of performance is a particular city, the five-character numeric FIPS 55 place code is in positions 232-236.	AN	7	230-236
29	<b><u>Principal Place of Performance</u></b> The name of the State or territory, and county or city of performance, are indicated. Entries are left justified, space filled within each subfield.  <u>State</u> <u>County or city</u>	AN	50	237-286
		AN	25	237-261
		AN	25	262-286
30	<b><u>CFDA Program Title</u></b> The title of the program under which the award is being funded, taken from the <b>Catalog of Federal Domestic Assistance</b> . Entry is left justified, space filled.	AN	74	287-360
<b>Field</b>		<b>Data</b>	<b>Field</b>	<b>Record</b>

<u>No.</u>	<u>Data Element</u>	<u>Type</u>	<u>Length</u>	<u>Position</u>
31	<b><u>Federal Agency Name</u></b> The name of the agency making the award of financial assistance. Entry is left justified, space filled.	AN	72	361-432
32	<b><u>State Name</u></b> The name of the State or territory in which the recipient of the award is located.	AN	25	433-457
33	<b><u>Project Description</u></b> Left justified, space filled,	AN	149	458-606

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# FAADS DATA QUALITY

## **Edit Checks**

The Bureau of the Census performs a series of edit checks to try to ensure input requirements are met and data in certain fields are valid. There are edit checks for nearly every data element. All input records of each Federal agency's FAADS submission are edited. Copies of the edit specifications are available upon request.

## **Federal Agency Compliance**

FAADS is dependent upon data supplied by Federal departments and agencies. Since agency operations are established primarily to meet their internal requirements, some agencies are not capable of reporting all requested data. Agencies were requested to submit waiver applications to OMB when specific reporting provisions could not be met. A summary of Federal agency compliance accompanies each FAADS report to assist the user in interpreting the data.

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# APPENDIX A

## FIPS 95-2 CODES FOR SELECTED PARTICIPATING FEDERAL DEPARTMENTS AND AGENCIES FOR FISCAL YEAR 2001

### 1200 **Agriculture, Department of**

12K2 Agricultural Marketing Service  
12H2 Agricultural Research Service  
12K3 Animal and Plant Health Inspection Service  
12H3 Cooperative State Research, Education and Extension Service  
12H4 Economic Research Service  
12D2 Farm Service Agency  
1263 Federal Crop Insurance Corporation  
12F2 Food and Nutrition Service  
12G2 Food Safety and Inspection Service  
  
12D3 Foreign Agricultural Service  
12C2 Forest Service  
12K4 Grain Inspection, Packers and Stockyards Administration  
12H5 National Agricultural Statistics Service  
12C3 Natural Resources Conservation Service  
12D4 Risk Management Agency  
12E4 Rural Business Cooperative Service  
12E3 Rural Housing Service  
12E2 Rural Utilities Service

4602 **Appalachian Regional Commission** (only reporting programs for which ARC obligates funds directly)

9560 **Barry M. Goldwater Scholarship and Excellence in Education Foundation – anticipated participation**

### 1300 **Commerce, Department of**

1325 Economic Development Administration  
1350 International Trade Administration  
1352 Minority Business Development Agency  
1341 National Institute of Standards and Technology  
1330 National Oceanic and Atmospheric Administration  
1335 National Telecommunications and Information Administration  
1359 Technology Administration

7635 **Christopher Columbus Fellowship Foundation – anticipated participation**

9577 **Corporation for National and Community Service** (formerly ACTION)

### 9700 **Defense, Department of**

**FIPS 95-2 CODES**  
**FOR SELECTED PARTICIPATING FEDERAL DEPARTMENTS AND AGENCIES**  
**FOR FISCAL YEAR 2001 - continued**

**9100 Education, Department of**

- 9121 Office of English Language Acquisition, Enhancement & Academic Achievement for Limited English Proficient Students
- 9139 Educational Research and Improvement
- 9146 Elementary and Secondary Education
- 9134 Postsecondary Education
- 9124 Special Education and Rehabilitative Services
- 9120 Vocational and Adult Education

**8900 Energy, Department of**

- 8938 Civilian Radioactive Waste Management
- 8925 Defense Programs
- 8922 Energy Efficiency and Renewable Energy
- 8927 Energy Programs
- 8936 Energy Research
- 8940 Environment, Safety and Health
  
- 8941 Environmental Management
- 8911 Economic Impact and Diversity
- 8944 Fossil Energy
- 8917 Nonproliferation and National Security
- 8948 Nuclear Energy, Science and Technology

**6800 Environmental Protection Agency**

- 6805 Assistant Administrator for Administration and Resources Management
- 6820 Assistant Administrator for Air and Radiation
- 6825 Assistant Administrator for Enforcement
- 6809 Assistant Administrator for International Activities
- 6830 Assistant Administrator for Pesticides and Toxic Substances
  
- 6806 Assistant Administrator for Policy Planning and Evaluation
- 6840 Assistant Administrator for Research and Development
- 6850 Assistant Administrator for Solid Waste and Emergency Response
- 6845 Assistant Administrator for Water

**4500 Equal Employment Opportunity Commission**

**5800 Federal Emergency Management Agency**

- 5820 Federal Insurance Administration
- 5822 Mitigation Directorate
- 5830 Preparedness, Training and Exercises Directorate
- 5832 Response and Recovery Directorate
- 5840 U.S. Fire Administration

**9300 Federal Mediation and Conciliation Service**

**9510 Harry S Truman Scholarship Foundation – anticipated participation**

**FIPS 95-2 CODES**  
**FOR SELECTED PARTICIPATING FEDERAL DEPARTMENTS AND AGENCIES**  
**FOR FISCAL YEAR 2001 - continued**

**7500 Health and Human Services, Department of**

7545 Administration on Aging  
7590 Administration for Children and Families  
7528 Agency for Healthcare Research and Quality  
7525 Agency for Toxic Substances and Disease Registry  
7530 ~~Health Care Financing Administration~~ Centers for Medicare & Medicaid Services  
7526 Health Resources and Services Administration  
  
7523 Centers for Disease Control and Prevention  
7524 Food and Drug Administration  
7527 Indian Health Service  
7529 National Institutes of Health  
7522 Substance Abuse and Mental Health Services Administration

**8600 Housing and Urban Development, Department of**

8658 Center for Faith-based and Community Initiatives  
8620 Community Planning and Development  
8611 Fair Housing and Equal Opportunity  
8630 Federal Housing Commissioner  
8653 Office of Healthy Homes & Lead Hazard Control  
8645 Policy Development and Research  
8635 Public and Indian Housing

**5950 Institute of Museum and Library Services**

**1400 Interior, Department of the**

1450 Bureau of Indian Affairs  
1422 Bureau of Land Management  
1425 Bureau of Reclamation  
1434 Geological Survey  
  
1435 Minerals Management Service  
1428 National Business Center  
1443 National Park Service  
1438 Office of Surface Mining, Reclamation and Enforcement  
1448 U.S. Fish and Wildlife Service

**9541 James Madison Memorial Fellowship Foundation – anticipated participation**

**9512 Japan-United States Friendship Commission**

**FIPS 95-2 CODES**  
**FOR SELECTED PARTICIPATING FEDERAL DEPARTMENTS AND AGENCIES**  
**FOR FISCAL YEAR 2001 - continued**

**1500 Justice, Department of**

1535 Community Relations Service  
1524 Drug Enforcement Administration  
1530 Executive Office for Immigration Review  
1540 Federal Prison System  
1528 Immigration and Naturalization Service  
1550 Office of Justice Programs  
    Bureau of Justice Statistics  
    National Institute of Justice  
    Office of Juvenile Justice and Delinquency Prevention

**1600 Labor, Department of**

1625 Bureau of Labor Statistics  
1635 Employment Standards Administration  
1630 Employment and Training Administration  
1645 Mine Safety and Health Administration  
1650 Occupational Safety and Health Administration  
1621 Pension and Welfare Benefits Administration  
1653 Veterans' Employment and Training Service  
1667 Office of Disability Employment Policy

**9545 Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation**  
**– participation anticipated**

**8000 National Aeronautics and Space Administration**

**8800 National Archives and Records Administration**  
8862 National Historical Publications and Records Commission

**5920 National Endowment for the Arts**

**5940 National Endowment for the Humanities**

**4900 National Science Foundation**

**3100 Nuclear Regulatory Commission**

**6000 Railroad Retirement Board**

**7300 Small Business Administration**

**3300 Smithsonian Institution**

**2800 Social Security Administration**

**FIPS 95-2 CODES**  
**FOR SELECTED PARTICIPATING FEDERAL DEPARTMENTS AND AGENCIES**  
**FOR FISCAL YEAR 2001 - continued**

1900 **State, Department of**

1953 Bureau of Educational and Cultural Affairs

6900 **Transportation, Department of**

6920 Federal Aviation Administration

6925 Federal Highway Administration

6930 Federal Railroad Administration

6955 Federal Transit Administration

6938 Maritime Administration

6940 National Highway Traffic Safety Administration

6943 Research and Special Programs Administration

6950 United States Coast Guard

6953 Federal Motor Carrier Safety Administration

6965 Transportation Security Administration

2000 **Treasury, Department of the**

2050 Internal Revenue Service

9580 **United States Institute of Peace**

3600 **Veterans Affairs, Department of**

3640 Veterans Benefits Administration

3620 Veterans Health Administration

3630 National Cemetery Administration

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**APPENDIX B:  
FIPS-55 CODES FOR THE CITY AND COUNTY OF EACH STATE CAPITAL**

State		County		City		
Abbrev	Code	Name	Code	Name	Code	Name
AL	01	Alabama	101	Montgomery	51000	Montgomery
AK	02	Alaska	110	Juneau	36400	Juneau
AZ	04	Arizona	013	Maricopa	55000	Phoenix
AR	05	Arkansas	119	Pulaski	41000	Little Rock
CA	06	California	067	Sacramento	64000	Sacramento
CO	08	Colorado	031	Denver	20000	Denver
CT	09	Connecticut	003	Hartford	37000	Hartford
DE	10	Delaware	001	Kent	21200	Dover
DC	11	District of Columbia	001	District of Columbia	50000	Washington
FL	12	Florida	073	Leon	70600	Tallahassee
GA	13	Georgia	121	Fulton	04000	Atlanta
HI	15	Hawaii	003	Honolulu	17000	Honolulu
ID	16	Idaho	001	Ada	08830	Boise
IL	17	Illinois	167	Sangamon	72000	Springfield
IN	18	Indiana	097	Marion	36000	Indianapolis
IA	19	Iowa	153	Polk	21000	Des Moines
KS	20	Kansas	177	Shawnee	71000	Topeka
KY	21	Kentucky	073	Franklin	28900	Frankfort
LA	22	Louisiana	033	East Baton Rouge	05000	Baton Rouge
ME	23	Maine	011	Kennebec	02100	Augusta
MD	24	Maryland	003	Anne Arundel	01600	Annapolis
MA	25	Massachusetts	025	Suffolk	07000	Boston
MI	26	Michigan	065	Ingham	46000	Lansing
MN	27	Minnesota	123	Ramsey	58000	St. Paul
MS	28	Mississippi	049	Hinds	36000	Jackson
MO	29	Missouri	051	Cole	37000	Jefferson City
MT	30	Montana	049	Lewis and Clark	35600	Helena
NE	31	Nebraska	109	Lancaster	28000	Lincoln
NV	32	Nevada	510	Carson City	09700	Carson City
NH	33	New Hampshire	013	Merrimack	14200	Concord
NJ	34	New Jersey	021	Mercer	74000	Trenton
NM	35	New Mexico	049	Santa Fe	70500	Santa Fe
NY	36	New York	001	Albany	01000	Albany
NC	37	North Carolina	183	Wake	55000	Raleigh
ND	38	North Dakota	015	Burleigh	07200	Bismarck
OH	39	Ohio	049	Franklin	18000	Columbus
OK	40	Oklahoma	109	Oklahoma	55000	Oklahoma City
OR	41	Oregon	047	Marion	64900	Salem
PA	42	Pennsylvania	043	Dauphin	32800	Harrisburg
RI	44	Rhode Island	007	Providence	59000	Providence
SC	45	South Carolina	079	Richland	16000	Columbia
SD	46	South Dakota	065	Hughes	49600	Pierre
TN	47	Tennessee	037	Davidson	52004	Nashville
TX	48	Texas	453	Travis	05000	Austin
UT	49	Utah	035	Salt Lake	67000	Salt Lake City
VT	50	Vermont	023	Washington	46000	Montpelier
VA	51	Virginia	760	Richmond City	67000	Richmond
WA	53	Washington	067	Thurston	51300	Olympia
WV	54	West Virginia	039	Kanawha	14600	Charleston
WI	55	Wisconsin	025	Dane	48000	Madison
WY	56	Wyoming	021	Laramie	13900	Cheyenne
AS	60	American Samoa	010	Eastern	62500	Pago Pago
FM	64	Micronesia	040	Ponape	26500	Kolonia
GU	66	Guam	010	Guam	02700	Agana
MH	68	Marshall Islands	190	Majuro	37250	Majuro
MP	69	Northern Mariana Islands	110	Saipan	99110	Saipan
PW	70	Palau	150	Koror	27500	Koror
PR	72	Puerto Rico	127	San Juan	76770	San Juan



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**APPENDIX C: Cross-Walk of the Location of the Data Fields In  
the OLD (Pre-1998) and CURRENT (Post-1998) Record Layouts**

<i>Data Element</i>	<i>OLD</i>		<i>CURRENT</i>	
	<i>Character Count</i>	<i>Character Position</i>	<i>Character Count</i>	<i>Character Position</i>
CFDA Program Number	7	1-7	7	1-7
State Application Identifier (SAI Number)	20	8-27	20	8-27
Recipient Name	45	28-72	45	28-72
Recipient City Code	5	73-77	5	73-77
Recipient City Name	21	78-98	21	78-98
Recipient County Code	3	99-101	3	99-101
Recipient County Name	21	102-122	21	102-122
Recipient State Code	2	123-124	2	123-124
Recipient Zip Code	9	125-133	9	125-133
Type of Recipient	2	283-284	2	134-135
Type of Action	1	285	1	136
Recipient Congressional District	2	286-287	2	137-138
Federal Agency/Organizational Unit Code	4	288-291	4	139-142
Federal Award Identifier Number	20	292-311	20	143-162
Federal Funding Sign	1	312	1	163
Federal Funding Amount	10	313-322	10	164-173
Non-Federal Funding Sign	1	323	1	174
Non-Federal Funding Amount	10	324-333	10	175-184

**APPENDIX C: Cross-Walk of the Location of the Data Fields in the  
OLD (Pre-1998) & CURRENT (Post-1998) Record Layouts - Continued**

<i>Data Element</i>	<i>OLD</i>		<i>CURRENT</i>	
	<i>Character Count</i>	<i>Character Position</i>	<i>Character Count</i>	<i>Character Position</i>
Total Funding Sign	1	334	1	185
Total Funding Amount	11	335-345	11	186-196
Obligation/Action Date	6	346-351	8	197-204
Starting Date	6	352-357	8	205-212
Ending Date	6	358-363	8	213-220
Type of Assistance Code	2	364-365	2	221-222
Record Type	1	366	1	223
Correction/Late Indicator	1	367	1	224
Fiscal Year & Quarter of Correction or Late Record	3	368-370	5	225-229
Principal Place of Performance Code	7	371-377	7	230-236
Principal Place of Performance Name	50	378-427	50	237-286
CFDA Program Title	74	428-501	74	287-360
Federal Agency Name	72	502- 573	72	361-432
State Name	25	574-598	25	433-457
Project Description	149	134-282	149	458-606